

GUIDANCE - July 14, 2023

Horseracing Integrity and Safety Authority
401 W Main Street Suite 222
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Guidance of the Horseracing Integrity and Safety Authority

Pursuant to 15 U.S.C. § 3054(g), the Horseracing Integrity and Safety Authority (“the Authority”) and the Horseracing Integrity & Welfare Unit (“HIWU”) issue the following guidance concerning Rule 4222 “M5 Intra-articular injections.”

Background

The Authority issues the following Guidance concerning the sanctions for violation of the HISA rules pertaining to the use of Intra-articular injections in Covered Horses.

HISA ADMC Rule 4222 states:

Rule 4222. M5 Intra-articular injections

Intra-articular injections are prohibited on Race Day; within 14 days prior to Post-Time; and within 7 days prior to any Timed and Reported Workout.

Guidance

Beginning July 16, 2023, HIWU will enforce the Rule 4222 prohibition of Intra-articular injections within 7 days prior to any Timed and Reported Workout. However, HIWU will NOT enforce the sanctions set forth in Rule 3323(b) for violations of the Rule 4222 prohibition of Intra-articular injections within 7 days prior to any Timed and Reported Workout.

Instead, HIWU will enforce the following sanction schedule against the Responsible Person of the Covered Horse (within a 365-day period):

- 1st violation: \$3,000 fine.**
- 2nd violation: \$6,000 fine, 10-day suspension.**
- 3rd violation: \$10,000 fine, 30-day suspension.**
- 4th violation: \$20,000 fine, 60-day suspension.**
- 5th violation: \$25,000 fine, 120-day suspension.**

The Covered Horse will not be subject to sanction for violations of the Rule 4222 prohibition of Intra-articular injections within 7 days prior to any Timed and Reported Workout, unless multiple violations are incurred involving the same Covered Horse within a period of 365 days.

Increased sanctions for multiple violations for violations of the Rule 4222 prohibition of Intra-articular injections within 7 days prior to any Timed and Reported Workout will be determined on the basis of violations incurred within a period of 365 days.

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The sanctions set forth in Rule 3323(b) for violations of the Rule 4222 prohibition of Intra-articular injections on Race Day and within 14 days prior to Post-Time shall still apply, with the exception that a Covered Horse shall be subject to a period of Ineligibility only if multiple violations are incurred involving the same Covered Horse within a period of 365 days.

Notwithstanding the foregoing, until July 15, 2023, the Rule 4222 prohibition of Intra-articular injections within 7 days prior to any Timed and Reported Workout shall be enforced only against the Covered Horse, by imposing a period of ineligibility upon the Covered Horse of 30 days. The remaining prohibitions in Rule 4222, concerning Race Day and the 14 days prior to Post-Time, shall continue to be enforced.