A summary SUSPENTION SHOULD BE IMPOSED FOR ALL CATAGORIES WHERE A DOPING OR ENDANGERMENT VIOLATION IS CHARGED. The following are recommended penalties for Doping or Equine Endangerment Violations carrying a **Category “A” penalty** and for violations of ARCI-011-015: Prohibited Practices:

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| --- | --- | --- |
| **LICENSED TRAINER:** |  |  |
| **1st offense** | **2nd LIFETIME offense in any jurisdiction** |  |
| ◦ Minimum two-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a five-year suspension.  **AND** | ◦ Revocation of license with no reapplication permitted. |  |
| ◦ Minimum fine of $50,000 plus share of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of $100,000 and share of purse.  **AND** | Minimum fine of $100,000 plus share of purse. |  |
| ◦ May be referred to the Commission for any further action deemed necessary by the Commission. |  |  |
| **LICENSED OWNER:** |  |  |
| **1st offense** | **2nd LIFETIME offense in owner’sstable**  **in any jurisdiction** | **3rd LIFETIME offense in owner’s** **stable**  **in any jurisdiction** |
| ◦ Disqualification and loss of purse.  **AND** | ◦ Disqualification and loss of purse plus $25,000 fine absent mitigating circumstances. Aggravating factors could be used to impose suspension.  **AND** | Disqualification and loss of purse. Minimum thirty-day suspension and $50,000 fine absent mitigating. Aggravating factors c/b used to impose a maximum of one-year suspension and $100,000 fine.  **AND** |
| ◦ Horse shall be placed on the veterinarian’s list for 180 days and must pass a commission-approved examination before becoming eligible to be entered. | ◦ Horse shall be placed on the veterinarian’s list for 180 days and must pass a commission-approved examination before becoming eligible to be entered. | ◦ Horse shall be placed on the veterinarian’s list for 180 days and must pass a commission-approved examination before becoming eligible to be entered. |
|  | ◦ May be referred to the Commission for any further action deemed necessary by the Commission. | ◦ May be referred to the Commission for any further action deemed necessary by the Commission◦ |

A summary SUSPENTION SHOULD BE IMPOSED FOR ALL CATAGORIES WHERE A DOPING OR ENDANGERMENT VIOLATION IS CHARGED.

The following are recommended penalties for **Doping or Equine Endangerment Violation Category “B” penalty,** (DEE Category B would be for many of the substances currently calling for a Class A penalty, essentially your Category 1 substances and some 2’s.)

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| **LICENSED TRAINER:** |  |  |
| **1st offense** | **2nd offense in any jurisdiction during any 365-day period.** | **3rd offense in any jurisdiction** |
| Minimum 180-day suspension. The presence of aggravating factors could be used to impose a maximum two-year suspension.  **AND** | ◦ Minimum one-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension.  **AND** | ◦ Minimum three-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a five-year suspension.  **AND** |
| ◦ Minimum fine of $25,000 and loss of share of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of $50,000. | ◦ Minimum fine of $50,000 and loss of share of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of $100,000. | ◦ Minimum fine of $100,000 and loss of purse absent mitigating circumstances.  **AND** |
| May be referred to the Commission for any further action deemed necessary by the Commission. | May be referred to the Commission for any further action deemed necessary by the Commission. | ◦ May be referred to the Commission for any further action deemed necessary by the Commission. |
| **LICENSED OWNER:** |  |  |
| **1st offense** | 2nd offense in any jurisdiction during any 365-day period. | 3rd offense in any jurisdiction |
| ◦ Disqualification and loss of purse.  **AND** | ◦ Disqualification and loss of purse. Minimum fine $10,000 in the absence of mitigating circumstances. Aggravating factors could be used to impose fine of $25,000.  **AND** | ◦ Disqualification and loss of purse. Minimum fine $25,000 in the absence of mitigating circumstances. Aggravating factors could be used to impose fine of $50,000.  **AND** |
| ◦ Horse must pass a commission-approved examination before becoming eligible to be entered. | Horse on Vet’s List for 180 Days.  May be referred to the Commission for any further action deemed necessary by the Commission. | Horse on Vet’s List for 180 Days.  May be referred to the Commission for any further action deemed necessary by the Commission. |

A summary SUSPENTION SHOULD BE IMPOSED FOR ALL CATAGORIES WHERE A DOPING OR ENDANGERMENT VIOLATION IS CHARGED.

The following are recommended penalties for **Doping or Equine Endangerment Violation Category “C” penalty,** (DEE Category C would be for many of the substances following the penalty progression in Category A and B moving from current to DEE Category.)

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| **LICENSED TRAINER:** |  |  |
| **1st offense** | **2nd offense in any jurisdiction during any 365-day period.** | **3rd offense in any jurisdiction** |
| Minimum 90-day suspension. The presence of aggravating factors could be used to impose a maximum one-year suspension.  **AND** | ◦ Minimum 180-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a two-year suspension.  **AND** | ◦ Minimum one-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension.  **AND** |
| ◦ Minimum fine of $5,000 and loss of share of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of $25,000. | ◦ Minimum fine of $10,000 and loss of share of purse absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of $50,000. | ◦ Minimum fine of $25,000 and loss of purse absent mitigating circumstances.  **AND** |
| May be referred to the Commission for any further action deemed necessary by the Commission. | May be referred to the Commission for any further action deemed necessary by the Commission. | ◦ May be referred to the Commission for any further action deemed necessary by the Commission. |
| **LICENSED OWNER:** |  |  |
| **1st offense** | 2nd offense in any jurisdiction during any 365-day period. | 3rd offense in any jurisdiction |
| ◦ Disqualification and loss of purse.  **AND** | ◦ Disqualification and loss of purse. Minimum fine $5,000 in the absence of mitigating circumstances. Aggravating factors could be used to impose fine of $10,000.  **AND** | ◦ Disqualification and loss of purse. Minimum fine $10,000 in the absence of mitigating circumstances. Aggravating factors could be used to impose fine of $25,000.  **AND** |
| ◦ Horse must pass a commission-approved examination before becoming eligible to be entered. | Horse on Vet’s List for 180 Days.  May be referred to the Commission for any further action deemed necessary by the Commission. | Horse on Vet’s List for 180 Days.  May be referred to the Commission for any further action deemed necessary by the Commission. |

**FAILURE TO MAINTAIN OR PROVIDE REQUIRED TREATMENT RECORDS.**

The following are recommended penalties for **trainers failure to keep or report required treatment records:**

|  |  |  |
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| **LICENSED TRAINER:** |  |  |
| **1st offense** | **2nd offense in any jurisdiction during any 365-day period.** | **3rd offense in any jurisdiction** |
| ◦ Minimum fine of $500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of $2,500. | ◦ Minimum fine of $2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of $5,000. | ◦ Minimum fine of $5,000 absent mitigating circumstances.  **AND** |
|  |  | ◦ May be referred to the Commission for any further action deemed necessary by the Commission. |